

Utility of extended HPV genotyping as primary cervical screen in an unscreened population with high HIV co-infection rate

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ABSTRACT

Objective: Screening with primary human papillomavirus (HPV) testing has been evaluated in highly pre-screened populations with lower HPV and HIV prevalence than what is the case in South Africa. High prevalence of HPV and underlying precancer in women living with HIV (WLWH) affect the clinical performance of screening tests significantly. This study investigates the utility and performance of an extended genotyping HPV test in detection of precancer in a population with a high coinfection rate with HIV.

Methods: A total of 1,001 women aged 25 to 65 years with no cervical cancer screening in the preceding 5 years were tested with cytology and primary extended genotyping HPV testing. The cohort of 1,001 women included 430 WLWH (43.0%) and 564 HIV-negative (56.3%) women.

Results: Abnormal cytology (atypical squamous cells of undetermined significance or higher) was significantly higher in WLWH (37.2% vs 15.9%) and high-grade squamous intraepithelial lesion or above (23.5% vs 5.2%). The WLWH also tested positive more often for any HPV type (44.3% vs 19.6%; $p < .0001$) The specificity for cervical intraepithelial neoplasia 2+ at 91.2% of a combination of HPV types, 16/18/45 (very high risk) and 31/33/58/52 (moderate risk), performed better than cytology or any HPV-positive result to predict cervical intraepithelial neoplasia 3+ on histology. The additional genotype information supports direct referral to treatment or colposcopy in a larger proportion of the screen-positive population.

Conclusions: The potential contribution of extended genotyping is demonstrated. The ideal choice of sensitivity and specificity ultimately depends on the health budget. More information will allow a screening algorithm, guiding management according to risk.

Key Words: cervical cancer, extended genotyping, primary human papillomavirus screening

42 Cervical cancer remains the leading cause of cancer deaths in South Africa and causes more than
43 4,000 deaths per year. This is particularly regretful when the elimination of disease is possible through
44 widely available primary and secondary preventative strategies. Primary prevention through human
45 papillomavirus (HPV) vaccination is clearly very important to future generations, but older women need
46 effective screening with sensitive and specific tests followed by timely treatment of the precancers
47 detected in the process. Primary HPV screening and treatment of lesions will reduce cancer and is in
48 keeping with the World Health Organization global call for action in 2018 to eliminate cervical cancer.¹

49 Screening strategies with primary HPV testing have mostly been evaluated in highly pre-screened
50 populations with lower HPV and HIV prevalence than what is the case in South Africa. Human
51 immunodeficiency virus coinfection changes the epidemiology of HPV infections, cervical precancer,
52 and cancer.² High prevalence of HPV detection and underlying precancer in women living with HIV
53 (WLWH) will affect the clinical performance of screening tests significantly. It is important that
54 implementation studies are performed in geographies with high prevalence of people living with HIV.

55 In South Africa, conventional cervical cytology has been the standard screening tool for precancer and
56 cancer. This has changed in the last decade to include liquid-based cytology (LBC) and, more recently,
57 HPV testing. The most recent cancer control policy published by the national Department of Health
58 states that “LBC- and HPV-based screening will be phased in based on resource availability.”³

59 The World Health Organization call to action identified the 90-70-90 targets: 90% of girls fully
60 vaccinated, 70% of women screened using a high-performance test, and treatment of 90% of women
61 with precancer and invasive cancer.¹ In low-and-middle income-countries (LMIC), there is a shortage of
62 specialized clinical services like colposcopy. With limited capacity for the treatment of precancer, it is
63 imperative to correctly identify those individuals with a true risk for cancer accurately to limit the
64 number of referrals to colposcopy. This will in turn reduce waiting times and hopefully increase the
65 proportion of women with precancers who receive effective treatment.

66 Some triage strategies such as HPV-DNA screening, with colposcopy triage of all those testing positive
67 for high-risk HPV (hrHPV) other than types 16/18/45, may increase referrals to colposcopy clinics in
68 2040 4-fold.⁴ When a primary screening test includes a built-in triage to stratify referral and treatment
69 according to risk, it may reduce the number of visits of the client to the health service and increase
70 compliance.

71 Another opportunity for triage is to extend genotyping information in routine screening and use
72 genotyping to predict the risk to develop precancer and cancer. This enables referral for direct
73 treatment those with very high risk, to offer colposcopy to those at moderate risk, and to rescreen those
74 with low risk at an earlier interval. In very low-risk women, increased intervals between screening can
75 be safely implemented.

76 In a study published by Schiffman and colleagues,⁵ 4 management strategies (action bands) were
77 suggested according to the HPV results. The management strategies were based on the risk for
78 development of cervical intraepithelial neoplasia (CIN) 3+ within 3 years. Very high risk (VHR) of CIN3
79 mandating consideration of excision treatment if colposcopy did not reveal invasive cancer was
80 associated with types 16, 18, or 45. Moderate risk (MR) was associated with HPV types 31, 33, 58, and
81 52, justifying colposcopy and directed biopsy. A low risk (LR) was associated with types 51, 35, 39, 68,
82 56, 59, and 66 and can be managed by intensified follow-up to permit HPV clearance or identify
83 persistence. A sample that tested negative for all the HPV types in this test was associated with very low
84 risk (VLR) allowing for return to routine screening with a long interval in between.⁵

85 The Onclarity assay (Becton Dickinson and company, Franklin Lakes, NJ) is an extended genotyping HPV
86 testing platform where 14 hrHPV types can be detected.

87 The assay performs well in the laboratory and results have been found to be reproducible and reliable in
88 a large quality control study.⁶ The test performance was good, independent of sample collection before
89 or after cytology aliquoting. The test performed well in different cytology preservation media.^{7,8} In a
90 study from Denmark, the assay had clinical sensitivity and specificity at least matching Hybrid Capture
91 2 (Qiagen, Germantown, MD) and linear array.⁹

92 The assay may be used as a primary screening tool but also as a triage for cytology.¹⁰ The sensitivity and
93 specificity of the test and its different channels in a population with a high background HIV infection
94 rate has not been sufficiently explored to support the development of locally relevant treatment
95 algorithms. In this study, the performance of this test to predict the outcome of histology-confirmed
96 cervical lesions of different severity will be described and compared with the performance of cervical
97 cytology.

98

99 **OBJECTIVE**

100 Investigate the utility and performance of an extended genotyping HPV test in detection of precancer in
101 cervical cancer screening in a population with a high coinfection rate with HIV.

102

103 **METHODS AND STUDY POPULATION**

104 This report forms part of a larger screening study for the Vaccine and Cervical Cancer Screen (VACCS).A
105 total of 1,001 women aged 25 to 65 years with no cervical cancer screening in the preceding 5 years
106 were invited to take part in the study. Recruitment and enrolment occurred at 3 study sites in
107 metropolitan areas of South Africa. One of the study sites was an HIV treatment clinic. The cohort of
108 1,001 women included 430 WLWH (43.0%) and 564 HIV-negative (56.3%) women. For another 7
109 women, the HIV status was unknown, and they were excluded from the analysis. Health care workers
110 collected LBC samples on which cervical cytology was performed. The remaining LBC samples were
111 subsequently tested with the Onclarity assay.

112 The original intention of the study was to perform a biopsy on all women with a positive HPV or cytology
113 result. A proportion of women with abnormal cytology or HPV test (4%) was lost to follow-up and did not
114 undergo a biopsy. A proportion of women with negative HPV and negative for intraepithelial lesion or
115 malignancy (NILM) cytology was also biopsied.

116 Of the 1,001 Onclarity tests, 102 (10.2%) delivered an invalid result and were excluded from the
117 performance analyses. The first 500 samples were tested together and, in this cohort, only had 22
118 (4.4%) invalid tests, which is similar to the rate observed in other published work.¹¹ The high rate of
119 invalid results in the remaining samples was difficult to explain. Each invalid test was repeated and
120 despite the repetition, the rate stayed higher than expected. This observed invalid rate is much higher
121 than that found in other studies using the same test and platforms and may be due to issues like
122 samples storage and transport.

123 In those women with valid HPV test results (n = 899), 265/276 (96.0%), with any HPV type positive, had
124 histology results available. Three hundred twenty-one of 564 (56.9%) women who screened negative for
125 HPV and had NILM/uncertain on cytology also received random cervical biopsies. Fifty-eight of 59
126 (98.3%) women who screened negative for HPV but had atypical squamous cells of undetermined

127 significance (ASCUS) or above on cytology had valid histology results. This cohort of 644 women with
128 histology was used for direct analysis.

129 As a result of the selective follow-up testing, verification bias was present in the crude data. To mimic a
130 more realistic description of the entire population and assessment of test characteristics, simulation
131 modelling was performed of missing histology using data from the available biopsies. Multiple
132 imputations were made to estimate the histology results of non-biopsied women. A second analysis
133 was performed using this histology end point simulation in addition to the confirmed histology results.
134 This is called the verification bias-adjusted analysis.

135 All women with an abnormality on a screening test were referred to the colposcopy clinic for
136 appropriate assessment treatment, when needed.

137 The study was approved by the Health Research Ethics committees of Pretoria (196/2014) and
138 Stellenbosch Universities (reciprocal approval 2015).

139

140 RESULTS

141 There were some differences in the age distribution between the WLWH and HIV-negative cohorts;
142 however, most of the women in the cohort were aged between 30 and 45 years, which is the most
143 important screening age to detect and treat precancer lesions. (Details in Supplemental Table 1)

144 Any abnormal cytology (ASCUS+) was significantly higher in WLWH (37.2%, 160/430 vs 15.9%, 90/564)
145 and high-grade squamous intraepithelial lesion (HSIL) or above (23.5%, 101/430 vs 5.2%, 29/564) (Table
146 1). In WLWH, 9/430 (2.1%) had cytology suggestive of malignancy.

147

148 **TABLE 1.** Cytology Results According to HIV Status

	WLWH (n=430)		HIV negative (n=564)		P value
	n	%	n	%	
Cytology NILM	259	60.2	473	83.8	< 0.0001
Cytology Ascus+	160	37.2	90	15.9	0.0004
Cytology HSIL +	101	23.5	29	5.2	< 0.0001
Cytology malignant	9	2.0	0	0	
NILM with any HPV +	52	20.1	39	8.2	0.1177

149 Cytology categories overlap and totals are more than n and 100%, respectively.

150

151 When the cytology results were analyzed in conjunction with the HPV results, WLWH had a much higher
152 rate of any HPV+ (20.1%) when the cytology was reported as NILM when compared with HIV-negative
153 women (8.2%), $p = 0.1177$. This likely indicates increased cancer risk in the WLWH NILM population
154 when measured by HPV positivity rate.

155 In the 644 women with confirmed histology, 13 cases of invasive cancer were reported. These were
156 equally distributed between HIV groups. However, there were significant differences in CIN2+ and

157 CIN3+ groups, with higher rates detected in WLWH. The WLWH had an extremely high rate of CIN2+
 158 (50.5%), but even in the HIV-negative cohort, there was a high rate of CIN2+ of 32.4%. The CIN2
 159 histology results were not reviewed or tested with p16 because this was a “real-world” screening study.
 160 It is important to note that the participants for study inclusion had no screening in the last 5 years or
 161 never. (See Supplemental Table 2).

162 A total of 899 valid HPV results were available for this cohort. There was clearly more HPV detected in
 163 WLWH. This was true for any HPV type (44.3%vs 19.6%; $p < .0001$) and for all the other types (Table 2). In
 164 the WLWH cohort, 55.7% tested negative for any hrHPV, whereas 80.4% of women in the HIV-negative
 165 cohort had a negative HPV test. Overall, 69.3% of participants tested negative for any of the oncogenic
 166 strains in the assay.

167

168 **TABLE 2.** HPV Results Reported According to Oncogenic Types and Risk-Stratified Action Bands

	WLWH (n=404)		HIV neg (n=495)		P value	Total (n=899)	
	n	%	n	%		n	%
Any hrHPV positive	179	44.3	96	19.6	<0.0001	276	30.7
HPV 16	40	9.9	24	4.9	0.0038	64	7.1
HPV 18/45	41	10.1	15	3.1	<0.0001	56	6.2
HPV 16/18/45 (VHR)	79	19.6	38	7.8	<0.0001	117	13.0
HPV 31/33/58/52 (MR)	55	13.6	34	6.8	0.0007	89	9.9
HPV 51/35/39/68/56/59/66 (LR)	45	11.1	25	5.0	0.0007	70	7.9
hrHPV negative (VLR)	225	55.7	393	80.4	<0.0001	623	69.3

169

170 At a threshold of HSIL on cytology, sensitivity in the HIV-negative group is low at 17.7% for CIN2+ and
 171 32.8% for CIN3+ (Table 3).

172

173 **TABLE 3.** Test Performance for Cytology at HSIL Threshold

Test characteristics Cytology HSIL	WLWH (n=430) %	HIV neg (n=571) %	Total (n=1001) %
Sensitivity for CIN2+	46.8	17.7	34.5
Sensitivity for CIN3+	63.9	32.8	51.9
Specificity for CIN 2+	94.4	99.0	97.4
Specificity for CIN 3+	87.9	98.2	94.2
PPV for CIN 2+	87.1	86.2	86.9
PPV for CIN3+	61.4	69.0	63.1
NPV for CIN 2+	68.6	78.6	74.8
NPV for CIN3+	89.0	92.4	91.1

174 NPV indicates negative predictive value; PPV, positive predictive value.

175

176 If the threshold for cytology is lowered to ASCUS+, the sensitivity for the HIV-negative group improves to
 177 41.8% for CIN2+ and 57.4% in CIN3+, but 15.9% would need to be referred to colposcopy (See
 178 Supplemental Table 2). For WLWH, the referral rate at the ASCUS+ threshold would be 37.2%.

179 If any HPV is detected (Table 4), the sensitivity and specificity are similar to cytology at a threshold of
 180 ASCUS+ in WLWH, HIV negative, and in the combined groups. However, it would refer 44.3% of WLWH,
 181 19.6% of HIV-negative, and 30.7% overall women to further investigation.

182

183 **TABLE 4.** Test Performance for Any hrHPV Detected

Test characteristics any hrHPV	WLWH (n=404) %	HIV negative (n=495) %	Total (n=899) %
Sensitivity for CIN2+	73.0	42.4	60.3
Sensitivity for CIN3+	80.8	63.8	74.5
Specificity for CIN 2+	79.9	88.7	85.4
Specificity for CIN 3+	67.5	82.3	78.6
PPV for CIN 2+	75.4	57.7	69.2
PPV for CIN3+	44.7	38.1	42.4
NPV for CIN 2+	77.8	80.9	79.8
NPV for CIN3+	91.6	94.7	93.6

184

185 The excellent specificity of the VHR positive results for CIN2+ in both subgroups (95.4% for WLWH and
 186 97.0 for HIV negative) and overall (96.4%) (Table 5), supports the action of direct referral for treatment.

187

188 **TABLE 5.** Test Performance for VHR HPV-Positive (VHR Group 16/18/45)

Test characteristics VHR	WLWH (n=404) %	HIV negative (n=495) %	Total (n=899) %
Sensitivity for CIN2+	37.3	20.5	30.3
Sensitivity for CIN3+	41.4	31.0	37.6
Specificity for CIN 2+	95.4	97.0	96.4
Specificity for CIN 3+	87.4	95.4	92.2
PPV for CIN 2+	87.3	71.1	82.0
PPV for CIN3+	51.9	47.4	50.4
NPV for CIN 2+	64.3	77.0	71.7
NPV for CIN3+	82.2	91.3	87.5

189

190 The overall specificity for CIN2+ remains high at 91.2% when VHR and MR are combined (Table 6). That
 191 would support colposcopy referral as an action for this group.

192

193

194

195 **TABLE 6.** Test Performance for VHR and/or MR Groups Combined (VHR 16/18/45 and/or MR
 196 31/33/58/52)

Test characteristics VHR and MR	WLWH (n=404) %	HIV negative (n=495) %	Total (n=899) %
Sensitivity for CIN2+	59.4	34.1	48.9
Sensitivity for CIN3+	78.0	90.9	85.6
Specificity for CIN 2+	89.0	92.6	91.2
Specificity for CIN 3+	75.5	86.7	81.7
PPV for CIN 2+	82.1	62.5	75.2
PPV for CIN3+	67.7	55.2	63.1
NPV for CIN 2+	72.2	79.4	76.6
NPV for CIN3+	88.1	93.9	91.6

197

198

199 **DISCUSSION**

200 Cervical cytology has been the cornerstone of screening programs internationally for decades and
 201 reduced the incidence and mortality of cancer in many developed countries. There is, however, a very
 202 large burden of disease in LMIC with little hope to successfully implement cytology-based programs
 203 that need multiple samplings, relatively complex infrastructure, and a well-organized primary health
 204 care system.¹² In addition, the sensitivity of a single cytology result is lower than HPV testing, with many
 205 important lesions missed.¹³ In a study from Argentina, with a similar economic environment as South
 206 Africa, “HPV testing increases detection of CIN2+ lesions and allows for improvement of programmatic
 207 indicators.”¹⁴ The HIV disease increases the diagnosis of cytological abnormalities and reduces the
 208 long-term efficacy of ablative or excisional treatments for precancer.¹⁵ In this study, cytology at a low
 209 referral threshold of ASCUS+ performed like pooled HPV results but performed less well at higher
 210 thresholds. Our findings support the move to primary HPV testing in South Africa as proposed by the
 211 Cancer Control Policy of 2017.³ Stratified HPV results with a focus on VHR and MR types improved
 212 specificity to approximately 90% or higher.

213 Cytology-based screening is less efficient in vaccinated populations because abnormal cytology
 214 disproportionately identifies minor abnormalities resulting from HPV types that are associated with
 215 lower cancer risk.^{16,17} This is likely also true for WLWH where there is a high rate of infection with non-
 216 oncogenic strains of HPV.¹⁸

217 Multiple international studies reported HPV detection in screening populations with NILM cytology and
 218 how this triage strategy improved sensitivity of cytology and accurate diagnosis of CIN2+. In a large
 219 cohort study from the United States, where almost 34,000 women were screened with the Onclarity
 220 assay, Stoler and colleagues reported that Onclarity “was clinically validated for co-testing in NILM
 221 women.”¹⁹ The extended genotyping stratified women at greater CIN3 or higher risk. In this cohort, there
 222 was a significant proportion of NILM cytology that tested positive for HPV. The WLWH had a high rate of
 223 any HPV+ (20.1%) compared with HIV-negative women (8.2%; p = 0.1177). Cytology likely
 224 underestimates the risk for cancer in these women.

225 Women living with HIV have a higher risk for the acquisition, persistence, and progression of hrHPV and
 226 its negative effects, including precancer or cervical cancer. Two large meta-analyses found that cervical
 227 cancer incidence remains approximately 6 times higher in WLWH compared with the general

228 population or HIV-negative women.²⁰ In our cohort, there were significantly more abnormal cytology
229 findings in the WLWH group compared with the HIV-negative group. The HSIL cytology was detected in
230 23% of WLWH compared with 5% HIV-negative ($p < 0.0001$).

231 Like other studies of WLWH, our cohort also demonstrated significantly more HPV detection in WLWH
232 (44.3% vs 19.6%; $p < 0.0001$). In a systematic review by Liu and colleagues, HIV-positive women had
233 higher HPV acquisition (relative risk = 2.64; 95% CI = 2.04–3.42) and lower HPV clearance
234 (hazard ratio = 0.72; 95% CI = 0.62–0.84) than HIV-negative women.²¹

235 Even in the HIV-negative cohort of this study, a relatively high number of hrHPV infections were found at
236 19.6%. This is in keeping with other reports from South Africa.^{22,23} This finding impacts the clinical
237 performance of HPV screening test and makes larger, population-based screening studies of utmost
238 importance.

239 The histology results again demonstrated the higher prevalence of precancer (CIN2+) in WLWH (50.5%
240 vs 32.4%; $p < 0.0001$) The overall rate of CIN2+ histology was 41.3%. This rate is high because of the
241 selection bias toward participants with a screening abnormality.

242 A negative HPV test has been shown to have excellent negative predictive value for future development
243 of precancer and cancer.²⁴ In the WLWH cohort, 55.7% tested negative for any hrHPV. Although this
244 was much lower than the 80.4% in HIV-negative women, the clinical significance of this finding is
245 important. The high negative predictive value means that, even in WLWH, more than half can be
246 reassured after a negative screen and be discharged from increased cervical cancer surveillance
247 (which is the norm in cytology-based screening programs).

248 Cytology (with an HSIL threshold) as a screening option in this population had a low sensitivity for
249 diagnosing CIN2+ (34.5%). The sensitivity improves if the cytology threshold is taken at ASCUS +
250 (54.2%) but at a cost for reduced specificity (97.4% vs 87.4%).

251 Any HPV positivity had an overall sensitivity of 60.3% to detect CIN2+ at specificity of 85.4%. This
252 demonstrates that sensitivity of HPV testing in this cohort was superior to that of cytology, even at a low
253 threshold of ASCUS+. The VHR action band achieves a high specificity of 96.4%, which supports
254 immediate treatment of these abnormal results. The proportion that would be referred directly for
255 treatment, using VHR positivity, would be 12.9% (116/899). The proportion rereferred for colposcopy
256 (MR) and biopsy would be only 9.9%, which would be manageable in terms of health care resources. If
257 the 2 action bands VHR and MR of the HPV test are used together, the sensitivity is at 48.9%, but
258 specificity is still at a high level of 91.2%.

259 The different sensitivities and specificities of the HPV test at different cut-offs of estimated risk for
260 current and future precancer lesions clearly demonstrate the contribution of extended genotyping in
261 the study population. Combining the highest risk types into a strategy of see-and-treat has obvious
262 programmatic benefits. The ideal choice of sensitivity and specificity ultimately depends on the health
263 budget, priority granted to the prevention program and resources. Results according to these
264 genotyping channels will allow a screening algorithm guiding management according to risk and based
265 on the chosen test sensitivity and specificity.

266 Persistent, type-specific, HPV infection increases the risk for future development of precancer and
267 cancer. In those women with positive HPV tests that do not qualify for immediate treatment, a potential
268 use of more extensive HPV typing is in follow-up of individual patients. Full genotyping is not available
269 for clinical use anywhere in the world, and having more HPV-type bands can be useful when monitoring

270 whether a given woman has a persistent or new infection.²⁵ This may also be a useful “test of cure” after
271 treatment.

272

273 **STRENGTHS AND LIMITATIONS**

274 This population was purposefully selected to include a significant number of WLWH not only to
275 evaluate the differences between HIV-affected and nonaffected women but also to inform the clinician
276 about the validity of screening tests in a population with a high HIV and HPV burden. This is both a
277 strength and a limitation. It provides valuable insights about screening WLWH and how tests may
278 perform differently.

279 A limitation is that the results may not be generalizable to a “general screening population”. The
280 performance characteristics of the reported screening tests, and in particular sensitivity and specificity,
281 may not reflect real-life performance due to the relatively small cohorts for a screening study and the
282 high incidence of positive screening results and abnormal histology. Both the WLWH and HIV-negative
283 cohorts reported here had much higher disease prevalence than the usual published well-screened,
284 low-risk populations, and comparing results directly is therefore not valid.

285 A strength of the study is the high number of biopsy-proven histology results including a significant
286 portion of women with negative screening tests.

287

288 **CONCLUSIONS**

289 The ideal screening tool for cervical cancer must be sensitive enough to diagnose most of true
290 precancers and cancers, particularly in programs where screening opportunities are few. Most women
291 in LMIC may only get 1 chance to be screened per lifetime. At the same time, the test should ideally
292 identify only those with true precancers and cancers; therefore, be specific enough. In this high-
293 prevalence population, cytology, when abnormal, performed well for specificity but was not sensitive
294 enough. The HPV testing was more sensitive, and the specificity of the highest risk types allows for
295 direct treatment without further testing. Extended genotyping information also allows the development
296 of risk-based guidelines for the further management of women positive for non-16/18/45 hrHPV types.

297 This study highlights the urgent need to perform large, population-based screening studies in LMIC,
298 particularly in geographies with a high rate of people living with HIV.

299

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