

Antimicrobial Susceptibility of Gram-Negative Pathogens Isolated from Patients with Complicated Intra-Abdominal Infections in South African Hospitals (SMART Study 2004–2009): Impact of the New Carbapenem Breakpoints

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Abstract

Background: The Study for Monitoring Antimicrobial Resistance Trends (SMART) follows trends in resistance among aerobic and facultative anaerobic gram-negative bacilli (GNB) isolated from complicated intra-abdominal infections (cIAIs) in patients around the world.

Methods: During 2004–2009, three centralized clinical microbiology laboratories serving 59 private hospitals in three large South African cities collected 1,218 GNB from complicated intra-abdominal infections (cIAIs) and tested them for susceptibility to 12 antibiotics according to the 2011 Clinical Laboratory Standards Institute (CLSI) guidelines.

Results: Enterobacteriaceae comprised 83.7% of the isolates. *Escherichia coli* was the species isolated most commonly (46.4%), and 7.6% of these were extended-spectrum β -lactamase (ESBL)-positive. The highest ESBL rate was documented for *Klebsiella pneumoniae* (41.2%). Overall, ertapenem was the antibiotic most active against susceptible species for which it has breakpoints (94.6%) followed by amikacin (91.9%), piperacillin-tazobactam (89.3%), and imipenem-cilastatin (87.1%), whereas rates of resistance to ceftriaxone, cefotaxime, ciprofloxacin, and levofloxacin were documented to be 29.7%, 28.7%, 22.5%, and 21.1%, respectively. Multi-drug resistance (MDR), defined as resistance to three or more antibiotic classes, was significantly more common in *K. pneumoniae* (27.9%) than in *E. coli* (4.9%; $p < 0.0001$) or *Proteus mirabilis* (4.1%; $p < 0.05$). Applying the new CLSI breakpoints for carbapenems, susceptibility to ertapenem was reduced significantly in ESBL-positive *E. coli* compared with ESBL-negative isolates (91% vs. 98%; $p < 0.05$), but this did not apply to imipenem-cilastatin (95% vs. 99%; $p = 0.0928$). A large disparity between imipenem-cilastatin and ertapenem susceptibility in *P. mirabilis* and *Morganella morganii* was documented (24% vs. 96% and 15% vs. 92%, respectively), as most isolates of these two species had imipenem-cilastatin minimum inhibitory concentrations in the 2–4 mcg/mL range, which is no longer regarded as susceptible.

Conclusions: This study documented substantial resistance to standard antimicrobial therapy among GNB commonly isolated from cIAIs in South Africa. With the application of the new CLSI carbapenem breakpoints, discrepancies were noted between ertapenem and imipenem-cilastatin with regard to the changes in their individual susceptibilities. Longitudinal surveillance of susceptibility patterns is useful to guide recommendations for empiric antibiotic use in cIAIs.

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THE STUDY for Monitoring Antimicrobial Resistance Trends (SMART) of gram-negative bacteria (GNB) isolated from complicated intra-abdominal infections (cIAIs) has documented the epidemiology and antimicrobial susceptibility of aerobic and facultative anaerobic GNB worldwide since 2002 [1–3]. More recent studies have confirmed the decline in activity of ampicillin-sulbactam and the cephalosporins, increases in fluoroquinolone resistance, and the continued activity of the carbapenems against the Enterobacteriaceae, including multi-drug resistant (MDR) strains [4–8]. Reports from SMART in the Asia-Pacific region showed certain countries to be associated with the highest levels of antimicrobial resistance among these pathogens globally. Extended-spectrum β -lactamase (ESBL) production by strains of *Escherichia coli* and *Klebsiella pneumoniae* in countries such as Thailand, China, and India have been documented to be 53.0% and 23.1%, 65.% and 31.9% and 67.0% and 55.0%, respectively [9–12].

Country-specific epidemiologic and susceptibility data are important to guide empiric therapy, and such data have not been available for South Africa. This report provides an evaluation of the epidemiology of gram-negative pathogens isolated from cIAIs for the six-year period 2004 to 2009 in South Africa and highlights the prevalence of ESBL production, the comparative susceptibility patterns of ESBL-positive vs. ESBL-negative strains, the rate of MDR pathogens, and the impact of the new lower Clinical Laboratory Standards Institute (CLSI) carbapenem breakpoints on carbapenem susceptibility.

Materials and Methods

Three centralized clinical microbiology laboratories (Amphath National Laboratory Services, Johannesburg; Amphath National Laboratory Services, Pretoria; Pathcare, Cape Town) collected isolates derived (anonymously) from cIAIs in patients at 59 private hospitals in three South African cities: Johannesburg (n=19), Pretoria (n=21), and Cape Town (n=19). Only one isolate per patient was accepted for the study. All organisms were determined to be clinically important by local participants. Inclusion of isolates was independent of prior antimicrobial use, age, or gender. Consecutive isolates of as many as 100 GNB from each microbiological center were collected annually between 2004 and 2009 from specimens derived from intra-abdominal sites (appendix, peritoneum, colon, bile, pelvic cavity, and pancreas). Acceptable specimens included tissue, fluid, or deep site cultures obtained intra-operatively. Isolates from blood or urine of patients with cIAIs and specimens from peri-rectal abscesses were excluded. No data were recorded relating to when the specimen was collected in relation to time after hospital admission.

From 2004 through 2007, isolates were identified to the species level and tested for antimicrobial susceptibility at each site. Beginning in 2008 and 2009, all isolates were sent to a central laboratory (Laboratories International for Microbiology Studies, a subsidiary of International Health Management Associates, Inc., Schaumburg, IL) for confirmation of identification and antimicrobial susceptibility testing. A centralized database of study results also was operated by International Health Management Associates. The isolates were sent to the central laboratory on chocolate agar slants, and representative samples were stored both at the investigator

site and the central laboratories in tryptic soy broth containing 2% glycerol at -70°C .

Minimum inhibitory concentrations (MICs) were determined for 12 antimicrobial agents using custom MicroScan dehydrated broth microdilution panels (Siemens Medical Solutions Diagnostics, West Sacramento, CA) according to the Clinical and Laboratory Standards Institute (CLSI) guidelines [13]. The MIC interpretive criteria followed guidelines established by the CLSI, and isolates of *E. coli*, *K. pneumoniae*, *K. oxytoca*, and *Proteus mirabilis* were classified phenotypically as ESBL producers using CLSI methodology [14]. Quality control (QC) was performed on each day of testing using the CLSI-recommended QC strains: *E. coli* ATCC 25922, *Pseudomonas aeruginosa* ATCC 27853, and *K. pneumoniae* ATCC 700603 (positive ESBL control). Results were included in the analysis only when corresponding QC isolates tested within the acceptable range according to the CLSI guidelines [13,14]. The ESBL data for regions outside South Africa were provided by IHMA, Inc., and adapted from the SMART database. Multi-drug resistance was defined as resistance to three or more of the following antibiotic classes: Aminoglycosides (represented by amikacin), cephalosporins (ceftriaxone, ceftazidime, cefotaxime, and cefepime), fluoroquinolones (ciprofloxacin, levofloxacin), β -lactam/ β -lactamase inhibitors (ampicillin-sulbactam, piperacillin-tazobactam), and carbapenems (ertapenem, imipenem-cilastatin).

The prevalence of resistance to the aminoglycoside, fluoroquinolone, and carbapenem antibiotics was compared in ESBL-positive and ESBL-negative isolates of *E. coli*, *K. pneumoniae*, and *P. mirabilis* using the Fisher exact (two-tail) test (Graphpad InStat 3 for Windows; Graphpad Software, Inc., La Jolla, CA).

Results

Frequency of pathogen occurrence

The frequency of isolation of various species ($n \geq 10$) over the six-year study period is depicted in Table 1. A total of 1,218 aerobic and facultatively anaerobic GNB were isolated. Enterobacteriaceae comprised 83.7% (1,019/1,218) of the total: *E. coli* 46.4% (n=566), *Klebsiella* spp. 14% (n=171), *Enterobacter* spp. 7.5% (n=92), and *Proteus* spp. 6.7% (n=81) contributing the majority of these isolates, accounting for 89.3% (910/1,019) of the Enterobacteriaceae and 74.7% (n=910) of all isolates. Following *E. coli*, *P. aeruginosa* was the most common pathogen cultured, accounting for 12.6% (n=154) of all isolates. *K. pneumoniae* (n=136) and *P. mirabilis* (n=71) were the third (11.2%) and fourth (5.9%) most commonly identified species, respectively, and miscellaneous species (n=19) represented 2.9% (31/1,218) of the total isolates.

Comparative antimicrobial susceptibility

Comparative antimicrobial susceptibility results for the most frequently isolated GNB ($n \geq 10$) are shown in Tables 2 and 3. Overall, against species for which it has breakpoints, ertapenem was the most active drug (94.6%), followed by amikacin (91.9%), piperacillin-tazobactam (89.3%), imipenem-cilastatin (87.1%), and cefepime (86.8%). Data on the latter four agents include susceptibility testing results for *P. aeruginosa* and *Acinetobacter baumannii*, which lowered the relative activity because of the high number of resistant

TABLE 1. FREQUENCY OF OCCURRENCE OF GRAM-NEGATIVE PATHOGENS IN COMPLICATED INTRA-ABDOMINAL INFECTIONS IN SOUTH AFRICA, 2004–2009

Organism	N (%)	Cumulative %
<i>Escherichia coli</i>	566 (46.4)	46.4
ESBL–	523 (42.9)	
ESBL+	43 (3.5)	
<i>Pseudomonas aeruginosa</i>	154 (12.6)	59.0
<i>Klebsiella pneumoniae</i>	136 (11.2)	70.2
ESBL–	80 (6.6)	
ESBL+	56 (4.6)	
<i>Proteus mirabilis</i>	71 (5.9)	76.1
ESBL–	63 (5.2)	
ESBL+	8 (0.7)	
<i>Enterobacter cloacae</i>	67 (5.5)	81.6
<i>Klebsiella oxytoca</i> :	35 (2.8)	84.4
ESBL–	32 (2.6)	
ESBL+	3 (0.2)	
<i>Acinetobacter baumannii</i>	34 (2.8)	87.2
<i>Citrobacter freundii</i>	23 (1.9)	89.1
<i>Serratia marcescens</i>	20 (1.6)	90.7
<i>Enterobacter aerogenes</i>	14 (1.1)	91.8
<i>Morganella morganii</i>	13 (1.1)	92.9
<i>Citrobacter koseri</i>	11 (0.9)	93.8
<i>Enterobacter, non-speciated</i>	11 (0.9)	94.7
<i>Pantoea agglomerans</i>	11 (0.9)	95.6
<i>Stenotrophomonas maltophilia</i>	11 (0.9)	96.5
<i>Proteus vulgaris</i>	10 (0.8)	97.3
Miscellaneous	31 (2.9)	99.9
Total	1,218	100

ESBL=extended spectrum β -lactamase.

isolates typically found in these species. Overall, the highest resistance rates were seen for ampicillin-sulbactam (58%), ceftriaxone (29.7%), cefotaxime (28.7%), ciprofloxacin (22.5%), levofloxacin (21.1%), and ceftazidime (18.6%).

For the six-year study period, imipenem-cilastatin (99%), amikacin (98%), and ertapenem (97%) were the most active antibiotics against all *E. coli* isolates followed by piperacillin-tazobactam (94%). The fluoroquinolones, ciprofloxacin (77%), and levofloxacin (78%), as well as ampicillin-sulbactam (40%), were the least active. Regarding *P. aeruginosa*, piperacillin-tazobactam (92%) was the most active agent followed by imipenem-cilastatin (75%), ceftazidime (75%), cefepime (74%), and amikacin (73%). For both ESBL-positive and -negative *K. pneumoniae* and *K. oxytoca*, imipenem-cilastatin (99%), ertapenem (96%), and amikacin (93%) were the most active agents, whereas 86% and 84% of these species were susceptible to levofloxacin and ciprofloxacin, respectively. Activity against *P. mirabilis* (inclusive of ESBL-positive isolates) exceeded 90% for all drugs other than ampicillin-cilastatin sulbactam, ceftriaxone, cefotaxime, and imipenem-cilastatin. For the 89 isolates of *Enterobacter* listed in Table 2 (*E. cloacae* 67, *E. aerogenes* 14, and unspecified species 11), amikacin (96%), levofloxacin (93%), cefepime (91%), and ciprofloxacin (90%) were the most active agents, with carbapenem susceptibility documented as 84% for both imipenem and ertapenem.

Production of extended-spectrum β -lactamase

Nine percent (110/1,218) of the *Enterobacteriaceae* were ESBL producers (see Tables 1 and 2). As depicted in Figure 1, where the combined international ESBL rates (2002–2009) of

TABLE 2. ANTIMICROBIAL SUSCEPTIBILITY (%) OF PATHOGENS ISOLATED MOST COMMONLY FROM COMPLICATED INTRA-ABDOMINAL INFECTIONS, SOUTH AFRICA 2004–2009^a

Species (n)	AS	Ak	Cax	Caz	Cft	Cfx	Cp	Cpe	Etp	Imp	Lvx	PT
<i>Escherichia coli</i>	40	98	91	93	92	93	77	96	97	99	78	94
ESBL+ (43)	17	88	30	37	17	77	42	58	91	95	49	72
ESBL– (523)	41	99	96	98	97	95	80	99	98	99	81	95
<i>Pseudomonas aeruginosa</i> (154) ^b	-	73	-	75	-	-	68	74	-	75	66	92
<i>Klebsiella pneumoniae</i> (136)	50	89	56	60	57	88	68	66	91	98	71	79
ESBL+ (56)	8	79	5	9	2	84	39	25	88	98	46	59
ESBL– (80)	80	96	91	95	97	90	88	95	94	98	89	94
<i>Proteus mirabilis</i> (71)	67	99	87	96	87	97	93	96	96	24	93	96
ESBL+ (8)	40	88	25	75	0	75	100	75	75	13	100	88
ESBL– (63)	69	100	95	98	96	100	92	98	98	25	92	97
<i>Enterobacter cloacae</i> (67)	13	94	48	63	59	4	90	88	81	90	93	81
<i>Klebsiella oxytoca</i> (35)	63	97	89	94	94	100	100	94	100	100	100	91
ESBL+ (3)	0	67	0	33	0	100	100	33	100	100	100	33
ESBL– (32)	67	100	97	100	100	100	100	100	100	100	100	97
<i>Acinetobacter baumannii</i> (34) ^b	38	35	-	32	-	-	24	21	-	38	32	21
<i>Citrobacter freundii</i> (23)	59	100	83	83	82	22	96	91	100	96	96	91
<i>Serratia marcescens</i> (20)	7	100	95	100	100	35	100	100	95	95	95	100
<i>Enterobacter aerogenes</i> (14)	42	93	64	64	67	7	79	93	79	71	86	86
<i>Morganella morganii</i> (13)	10	92	69	69	50	100	92	92	92	15	100	100
<i>Citrobacter koseri</i> (11)	63	100	82	91	75	91	82	91	100	100	82	100
<i>Enterobacter spp.</i> (11)	0	100	73	73	0	36	100	91	91	91	100	91
<i>Pantoea agglomerans</i> (11)	63	100	64	82	75	36	100	100	82	82	100	91

^aAccording to Clinical Laboratory Standards Institute M100-S21 breakpoints.

^bErtapenem, cefotaxime, ceftriaxone data for *P. aeruginosa* and *A. baumannii* not included, as there are no CLSI breakpoints for these agents.

AS=ampicillin-sulbactam; Ak=amikacin; Cax=ceftriaxone; Caz=ceftazidime; Cft=cefotaxime; Cfx=ceftazidime; Cp=ciprofloxacin; Cpe=cefepime; Etp=ertapenem; Imp=imipenem-cilastatin; Lvx=levofloxacin; PT=piperacillin-tazobactam; ESBL=extended spectrum β -lactamase.

TABLE 3. RELATIVE IN VITRO ACTIVITY OF ANTIMICROBIAL AGENTS AGAINST COMPLICATED INTRA-ABDOMINAL INFECTION PATHOGENS IN SOUTH AFRICA

Antibiotic (n tested)	% Susceptible ^a
Ertapenem (1,019) ^b	94.6
Amikacin (1,218)	91.9
Piperacillin-tazobactam (1,218)	89.3
Imipenem-cilastatin (1,218)	87.1
Cefepime (1,218)	86.8
Ceftazidime (1,218)	82.4
Cefoxitin (1,218)	81.4
Levofloxacin (1,218)	78.9
Ciprofloxacin (1,218)	77.5
Cefotaxime (1,019) ^b	71.3
Ceftriaxone (1,019) ^b	70.3
Ampicillin-sulbactam (1,218)	42.0

^aPercent susceptibility according to Clinical Laboratory Standards Institute M100-S21 breakpoints.

^bErtapenem, cefotaxime, ceftriaxone data for *Pseudomonas aeruginosa* and *Acinetobacter baumannii* not included, as there are no CLSI breakpoints for these agents.

E. coli and *K. pneumoniae* were compared by region, a major disparity between these two species was observed. The highest ESBL-positive rate was documented for *K. pneumoniae*, with 41.2% (56/136) of isolates positive, whereas only 7.6% (43/566) of *E. coli* were ESBL producers. With regard to *P. mirabilis* and *K. oxytoca*, 11.3% (8/71) and 8.6% (3/35), respectively, were ESBL producers. Because the CLSI method for ESBL detection is not validated for *Enterobacter* spp., these isolates were not categorized as ESBL-positive or -negative using the phenotypic test. No significant differences in ESBL production were observed over the six-year study period for any of the pathogens (data not shown).

The impact of ESBL production on susceptibility rates to antibiotic classes were as follows: Amikacin susceptibility was significantly lower in ESBL-producing phenotypes for both *E. coli* (38/43 [88%] vs. 518/523 [99%]; $p < 0.05$) and *K. pneu-*

moniae (44/56 [79%] vs. 77/80 [96%]; $p < 0.05$). Production of ESBL in *E. coli* impacted dramatically on levofloxacin resistance (22/43 [51%] vs. 99/523 [19%]; $p < 0.0001$) and ciprofloxacin (25/43 [58%] vs. 105/523 [20%]; $p < 0.0001$) compared with ESBL non-producers. This was similar for ESBL-positive phenotypes of *K. pneumoniae* for levofloxacin (30/56 [54%] vs. 9/80 [11%]; $p < 0.0001$) and ciprofloxacin (22/56 [61%] vs. 70/80 [12%]; $p < 0.0001$), respectively. Activity of all the cephalosporins also was considerably compromised in ESBL producers among *E. coli* compared with non-ESBL isolates (64.5% vs. 2.5% resistance; $p < 0.0001$). Resistance rates for the specific agents in ESBL-positive isolates were 83%, 70%, 63%, and 42% for cefotaxime, ceftriaxone, ceftazidime, and cefepime, respectively. This was similar for the cephalosporins overall with regard to *K. pneumoniae* (89.5 vs. 5.5%; $p < 0.0001$) and for cefotaxime, ceftriaxone, ceftazidime, and cefepime, for which resistance rates were 98%, 95%, 91%, and 75%, respectively. In comparison with ESBL-positive *E. coli*, ESBL-positive *K. pneumoniae* were not less susceptible to ertapenem (49/56 [88%] vs. 39/43 [91%]) and amikacin (44/56 [79%] vs. 38/43 [88%]), a difference that was not statistically significant. Similarly, ciprofloxacin and levofloxacin susceptibility was not significantly different in these species (22/58 [39%] vs. 18/43 [42%] and 26/58 [46%] vs. 21/43 [49%]), respectively. However, the impact of ESBL production on cephalosporin resistance was significantly greater in *K. pneumoniae* ($p < 0.05$) than in *E. coli* (see above).

Impact of the new carbapenem breakpoints

When the new CLSI carbapenem breakpoints were applied, imipenem-cilastatin susceptibility, in contrast to ertapenem susceptibility, was substantially decreased for *P. mirabilis* (24% vs. 96%, respectively) and *Morganella morganii* (15% vs. 92%, respectively), as most of the isolates had imipenem-cilastatin MICs in the 2–4 mcg/mL range (*P. mirabilis* 73.2% [52/71] and *M. morganii* 84.6% [11/13]). This is in accordance with SMART data globally. The SMART database for *P. mirabilis* and *M. morganii* from 2004–2009 excluding South Africa

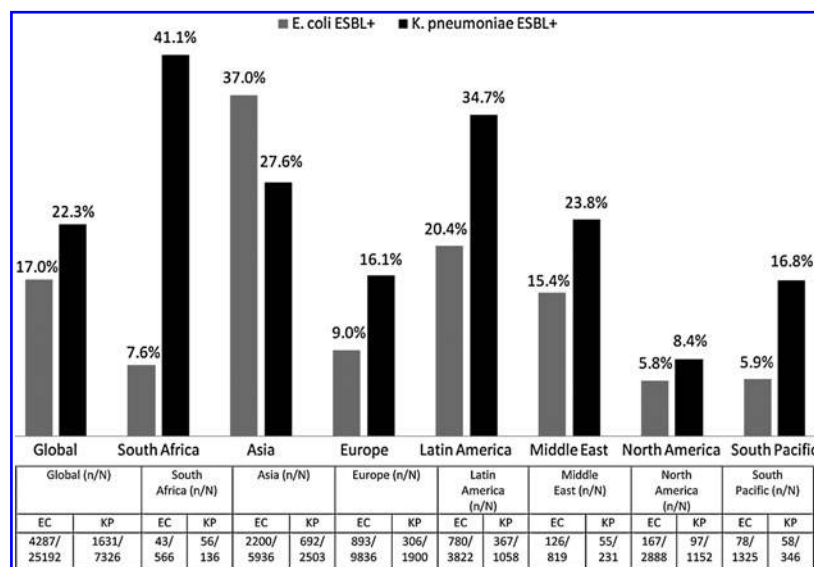


FIG. 1. Comparative extended-spectrum β -lactamase production by *Escherichia coli* vs. *Klebsiella pneumoniae* by region: Combined SMART data 2002–2009.

revealed that 58.5% (889/1,519) of *M. morganii* isolates had imipenem-cilastatin MICs of 2 or 4 mcg/mL, which was defined as susceptible with the old imipenem-cilastatin breakpoint, whereas only 2% (15/750) of isolates that had been susceptible to ertapenem with the old breakpoint became non-susceptible. Similarly, 58.5% (889/1,519) of *P. mirabilis* isolates had imipenem-cilastatin MICs of 2 or 4 mcg/mL, representing resistance, whereas only 1% (15/1,519) had their ertapenem susceptibility reclassified as non-susceptible by the new breakpoints. However, ertapenem susceptibility was significantly reduced in ESBL-positive *E. coli* compared with ESBL-negative isolates (39/43 [91%] vs. 513/523 [98%]; $p < 0.05$), although this did not apply to imipenem-cilastatin (41/43 [95%] vs. 517/523 [99%]; $p = 0.0928$). Among the small number of isolates, the activity of ertapenem decreased in ESBL-positive *P. mirabilis* compared with ESBL-negative isolates (6/8 [75%] vs. 62/63 [98%]; $p < 0.05$). Of note, the difference in susceptibility to ertapenem in ESBL-producing *K. pneumoniae* vs. non-producing isolates was not significant (49/56 [88%] vs. 75/80 [94%]; $p = 0.2317$).

Multi-drug resistance

Multi-drug resistance (Table 4) was significantly more prevalent in *K. pneumoniae* overall (38/136 [27.9%]) than in *E. coli* (28/566 [4.9%]; $p < 0.0001$) and *P. mirabilis* (3/71 [4.1%]; $p < 0.05$). The highest MDR rate was recorded for *A. baumannii* (26/34 [76.4%]) followed by ESBL-producing *K. pneumoniae*

and *E. coli*, with 60.7% (34/56) and 41.7% (18/43) of isolates, respectively, being resistant to three or more classes of drugs. Only 5.0% (4/80) and 1.9% (10/523) of ESBL non-producing *K. pneumoniae* and *E. coli*, respectively, were MDR, indicating the presence of resistance mechanisms other than ESBL production alone. There was a trend toward more MDR in *K. pneumoniae* than *E. coli* ESBL producers ($p = 0.0710$). Also, 22.7% (35/154) of *P. aeruginosa* strains and 14.8% (12/81) of the *E. cloacae* and *E. aerogenes* isolates (assessed together) were MDR, which did not differ significantly from *K. pneumoniae*. None of the isolates of *K. oxytoca* ($n = 35$) or *Serratia marcescens* ($n = 20$) was resistant to three or more antibiotic classes.

Discussion

Considerable resistance to one, or in many cases, multiple antibiotics that are prescribed commonly for IAIs in South Africa was documented in this six-year surveillance study. Notably, ESBL production in *E. coli*, the species isolated most commonly, was 7.6% vs. 41.2% in *K. pneumoniae*. In both instances, this feature compromised susceptibility significantly. The exception was ertapenem, which was the most active antibiotic despite reduced activity against ESBL-positive *E. coli* and *P. mirabilis* when using the new CLSI carbapenem breakpoints. In contrast, there was no significant difference in ertapenem resistance between ESBL-positive and -negative *K. pneumoniae* strains. The large disparity in susceptibility between ertapenem and imipenem-cilastatin in *P. mirabilis* and *M. morganii* was attributable to the CLSI breakpoint changes, as most of these species have imipenem-cilastatin MICs in the 2–4 mcg/mL range, which is now regarded as resistant. Even though the breakpoint for ertapenem was lowered even more drastically, to 0.25 mcg/mL, most isolates had MICs much lower than that and therefore remained in the susceptible range. In most studies, *P. mirabilis* accounts for < 5% of all GNB isolated in cIAs, and data on the ESBL rates and associated resistance are limited. The only previous reports are from Hawser et al. (Europe) [5] and Yang et al. (China) [12], where 5.4% and 19.5% of isolates, respectively, were ESBL-positive and did not impact ertapenem resistance data. In our study, *P. mirabilis* was the fourth most common GNB, with 11.3% being ESBL producers and 4.1% MDR. Whereas amikacin was the second most active antibiotic overall, susceptibility to this drug was negatively affected by ESBL production in Enterobacteriaceae. Ampicillin-sulbactam, which is not available in South Africa, was the least active agent *in vitro*. Although the data presented here are consistent with previous reports linking ESBL-producing phenotypes to decreased therapeutic options for IAIs, the differences in susceptibility between ESBL producers and non-producers were relatively small for the carbapenems compared with most other agents [5,7,8,15].

According to the results of this study, *K. pneumoniae* is substantially more problematic from an antibiotic management point of view in South Africa than is *E. coli*, which tends to feature more prominently in the international surgical literature on cIAs, as well as in the other SMART studies [3,4,7–9,15]. Not only did *K. pneumoniae* have the highest ESBL rate, but it also had the highest MDR rate among the Enterobacteriaceae, which overall was second only to *A. baumannii* and exceeded the MDR rate of *P. aeruginosa* isolates. This result affected significantly the susceptibility to the

TABLE 4. RATE OF MULTI-DRUG RESISTANCE (MDR) IN GRAM-NEGATIVE PATHOGENS FROM COMPLICATED INTRA-ABDOMINAL INFECTIONS, SOUTH AFRICA 2004–2009

Species	Total isolates	N (%)	MDR ^a
Enterobacteriaceae			
<i>Klebsiella pneumoniae</i>	136	38 (27.9)	
ESBL+	56	34 (60.7)	
ESBL–	80	4 (5.0)	
<i>Morganella morganii</i>	13	3 (23.0)	
<i>Proteus vulgaris</i>	10	2 (20.0)	
<i>Enterobacter</i> spp.	81	12 (14.8)	
<i>E. cloacae</i>	67	10 (14.9)	
<i>E. aerogenes</i>	14	2 (14.2)	
<i>Citrobacter koseri</i>	11	1 (9.0)	
<i>Pantoea agglomerans</i>	11	1 (9.0)	
<i>Escherichia coli</i>	566	28 (4.9)	
ESBL+	43	18 (41.7)	
ESBL–	523	10 (1.9)	
<i>C. freundii</i>	23	1 (4.3)	
<i>Proteus mirabilis</i>	71	3 (4.1)	
ESBL+	8	2 (25.0)	
ESBL–	63	1 (1.6)	
<i>K. oxytoca</i>	35	0	
<i>Serratia marcescens</i>	20	0	
Nonfermentative gram-negative			
<i>Acinetobacter baumannii</i>	34	26 (76.4)	
<i>Pseudomonas aeruginosa</i>	154	35 (22.7)	

Defined as resistant to at least three of the following antibiotic classes: aminoglycosides (amikacin), cephalosporins (ceftriaxone, ceftazidime, cefotaxime, cefepime), fluoroquinolones (ciprofloxacin, levofloxacin), β -lactam/ β -lactam inhibitor (ampicillin-sulbactam, piperacillin-tazobactam), or carbapenems (ertapenem, imipenem-cilastatin).

ESBL = extended-spectrum β -lactamase.

cephalosporins but had no impact on susceptibility to aminoglycosides and fluoroquinolones compared with ESBL phenotypes of *E. coli*. The CTX-M ESBLs, in conjunction with porin loss, in *K. pneumoniae* influences carbapenem susceptibility in South Africa, but paradoxically, the impact on ertapenem (and imipenem) was not significant in *K. pneumoniae* as opposed to *E. coli* and *P. mirabilis* [16,17]. *Klebsiella pneumoniae* has always had a unique role as an etiologic agent of infections in South Africa, particularly in community-acquired pneumonia [18–20]. In addition, during a recent six-month blood culture survey in the same hospitals involved in the present study, *K. pneumoniae* (n=548) was isolated more frequently than *E. coli* with 50% of bacteremic isolates producing an ESBL as opposed to only 5% of *E. coli* (n=503) [21]. Globally, ESBL rates (SMART) for *E. coli* and *K. pneumoniae* are 17.0% and 22.3%, respectively. The reasons for the discrepant ESBL production in cases of bacteremia and cIAIs, as documented in this study (7.6% vs. 41.2%), are unknown.

There are a number of limitations of this study, including the fact that no microbiological or clinical outcomes were recorded. Although appropriate empiric antibiotic use increases survival and shortens hospital stay, clinical outcome does not necessarily reflect in vitro susceptibility in cIAIs, as appropriate surgical procedures also are vital [22]. Furthermore, prior antibiotic use and risk factors for the different gram-negative organisms, including the ESBL producers and MDR pathogens that were isolated, were not determined; and it was not possible to differentiate community-acquired from hospital-acquired cIAIs. With regard to the latter, only SMART in the United States (13.3%) had an equivalent number of *P. aeruginosa* (12.6%), which usually accounts for <10% of all gram-negative organisms isolated in cIAIs [6,8]. The frequency of more resistant pathogens such as *P. aeruginosa* and ESBL-producing enteric bacteria including *Proteus* spp. in our study probably reflects a greater number of healthcare-associated cIAIs [15,23,24]. Additionally, although three of South Africa's largest cities were involved and surveillance included only private hospitals, the results might not apply to smaller centers or public hospitals. To help redress this deficiency, an additional site was recruited in 2011, and whether any differences exist should become apparent soon. Lastly, this report does not contain molecular characterization of the ESBLs and carbapenem-resistant Enterobacteriaceae, which in the future will be important epidemiologically. Substantial fluoroquinolone resistance is associated increasingly with CTX-M-type ESBLs as opposed to SHV or TEM phenotypes, and this may be the case here [4,8,25,26].

Although piperacillin-tazobactam and cefepime retained 89.3% and 86.8% activity, respectively, against all GNB in this study, these agents are not suitable for the empirical treatment of cIAIs when high ESBL rates (10%–20%) are present among the Enterobacteriaceae (inferred from Solomkin et al.) [15]. According to the results of this study, piperacillin-tazobactam probably would be the best choice for the empirical treatment of suspected pseudomonal cIAIs, which are more common in nosocomial infections, such as tertiary peritonitis [23,24]. Imipenem-cilastatin, with 87.1% activity inclusive of ESBL-producing Enterobacteriaceae and *P. aeruginosa*, probably should be reserved for nosocomial infections. Regarding the latter pathogen, imipenem-cilastatin in combination with another agent such as a fluoroquinolone or amikacin may be necessary, whereas ertapenem would be best suited for em-

pirical monotherapy of community-acquired cIAIs in South Africa.

This study documents the evolution of antibiotic resistance among intra-abdominal GNBs in South Africa. It highlights the fact that application of the new CLSI breakpoints results in discrepancies between reported ertapenem and imipenem-cilastatin susceptibilities and the need for continued surveillance to monitor both the ESBL prevalence and the rate of MDR, as this may affect empiric antibiotic choice and outcome in cIAIs.

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